

Petsky Prunier Advises CIP Capital’s Compliance Solutions Strategies on its Investment in Ascendant Compliance Management

January 31, 2017 (New York, NY) – Petsky Prunier is pleased to announce it has advised Compliance Solutions Strategies (“CSS”), a leading provider of technology-enabled regulatory and compliance solutions to the financial services industry, on its investment in Ascendant Compliance Management, a leader in the compliance and regulatory services industry. CSS is a portfolio company of private equity firm CIP Capital.



The CSS platform is comprised of three leading businesses in the governance, risk management, and compliance market: Ascendant Compliance Management, Advise Technologies, and The MoneyMate Group. This highly strategic combination of three leading organizations creates a scalable, global platform that leverages software across a broad range of solutions to enable clients in the financial services industry to meet evolving regulatory and compliance requirements in a transparent and efficient manner.

The CSS platform provides a comprehensive, technology-enabled offering encompassing regulatory reporting, data management,

outsourced compliance management services, compliance workflow tools, shareholding disclosure, trade monitoring, trading analytics, and cybersecurity solutions. CSS focuses on serving the global financial services industry and collaborates with a large client-base across asset managers, alternative investment funds, investment advisors, broker-dealers, banks, and insurance companies. CSS maintains a global footprint across both the U.S. and Europe with offices in locations, including New York City, Salisbury (CT), Dublin, London, Paris, and Amsterdam.



CIP Capital is a New York-based private equity firm focused on investing in growth-oriented, middle-market companies across the business information and tech-enabled services markets in North America. The fund seeks to invest in proven, profitable businesses that provide their customer bases with critical information, proprietary content, and value-added outsourced services. Targeted sectors for investment include business services, marketing services, and knowledge services.

Petsky Prunier, with a team led by Seth Rosenfield, served as exclusive financial advisor to Compliance Solutions Strategies and CIP Capital.

About Petsky Prunier LLC

Petsky Prunier is one of the leading investment banks to the technology, media, marketing, eCommerce, and healthcare industries. Our firm's merger and acquisition and private placement advisory services reflect a unique blend of product specialization and industry expertise. Together with strategic consulting firm Winterberry Group, a Petsky Prunier company, our organization represents one of the largest industry-specific advisors providing strategic and transactional services. We offer global reach supplemented through our partnerships in China and India. Securities transactions are processed through Petsky Prunier Securities LLC, a member of FINRA and an affiliated entity.

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